



FAL INVEST (PTY) LTD is a registered financial services provider (FSP 6010), and offers managed equity portfolio solutions to companies, pension funds, preservation funds, individuals and trusts.

Mr. Charles N. Snyman is a director, key person and representative of FAL INVEST (PTY) LTD and also an employee of FAL INVEST (PTY) LTD.

FAL INVEST (PTY) LTD is responsible for the advice of its representatives that results from mandates or agreements.

FAL INVEST (PTY) LTD has both professional indemnity insurance & fidelity insurance.

The compliance officer of FAL INVEST (PTY) LTD is:

Comply Sure CC
Mnr. T Liebenberg (Co 43)
Mossopstraat 45
Onrusrivier
Telephone Number: 028 316 4286
Email address: complysure@telkomsa.net

The FIAS Ombud's contact details are as follows:

FIAS Ombud
PO BOX 74571
Lynnwood Ridge
0040
Telephone Number: 012 471 9080
Fax Number: 012 348 3447

In terms of the relevant licence, FAL INVEST (PTY) LTD can deliver the following financial services:

CATEGORY 1:

- Long-Term Insurance: Category A
- Long-Term Insurance: Category B1
- Long-Term Insurance: Category B2
- Long-Term Insurance: Category C
- Small Enterprise Pension Fund Benefits
- Pension Fund Benefits (Excluding Small Enterprise)
- Securities & Instruments: Equities
- Securities & Instruments: Money Market Instruments
- Securities & Instruments: Debentures and Secured Debt
- Securities & Instruments: Warrants, Securities and Other Instruments
- Securities & Instruments: Bonds
- Securities & Instruments: Derivative Instruments



- Participatory Interest in Collective Investment Schemes
- Deposits as defined in the Law of Banks – Longer than 12 Months
- Deposits as defined in the Law of Banks – 12 Months or Shorter

CATEGORY II – Discretionary FSP:

- Small Enterprise Pension Fund Benefits
- Pension Fund Benefits (Excluding Small Enterprise)
- Securities & Instruments: Equities
- Securities & Instruments: Money Market Instruments
- Securities & Instruments: Debentures and Secured Debt
- Securities & Instruments: Warrants, Securities and Other Instruments
- Securities & Instruments: Bonds
- Securities & Instruments: Derivative Instruments
- Participatory Interest in Collective Investment Schemes

It is further required by FAIS legislation that managed equity (or other listed financial instruments) have accounts with a member of the securities exchange. To comply with this requirement, we make use of the following organizations:

- 1) **Thebe Securities** (011 375 1000)
Constantia View Office Park, 2 Hogsback Street, Quellerina, Roodepoort, 1709
Contact person: Heleen Strydom 011 375 1107.
- 2) **28E Capital** (012 663 8344)
Office 2D2, 1ste Floor, Centurion Gate Office Building Cnr. John Voster & Akkerboom Street, Centurion Pretoria.
Contact person: Estelle van Rensburg 012 663 8437.
- 3) **PSG Wealth** (011 831 6410)
Unit 6, 1ste Floor, Clear Water Office Park, Christiaan de Wet Lane, Strubbens Vallei, Roodepoort, 1724.
Contact person: Barend Saayman 011 831 6410.

The commission and fees of FAL INVEST (PTY) LTD are described in our mandate.

FAL INVEST (PTY) LTD does not hold shares in any life insurance company.