
Invest. Grow. Together

Mandatory Disclosure

FAL INVEST (PTY) LTD is a registered financial services provider (FSP 6101). The directors of FAL Invest are C.N. Snyman & J. Strauss. Mr C.N. Snyman is a key person of FAL Invest.

FAL INVEST (PTY) LTD is responsible for the advice of its representatives that results from mandates or agreements.

The compliance officer of FAL INVEST (PTY) LTD is:

Comply Sure CC
Mr. T Liebenberg (CO 43)
Mossopstraat 45
Onrusrivier
Telephone Number: 028 316 4286
Email address: complysure@telkomsa.net

The FAIS Ombud's contact details are as follows:

FAIS Ombud
PO BOX 74571
Lynnwood Ridge
0040
Telephone Number: 012 471 9080
Fax Number: 012 348 3447

In terms of the relevant licence, FAL INVEST (PTY) LTD can deliver the following financial services:

CATEGORY 1:

- Long-Term Insurance: Category A
- Long-Term Insurance: Category B1
- Long-Term Insurance: Category B2
- Long-Term Insurance: Category C
- Small Enterprise Pension Fund Benefits
- Pension Fund Benefits (Excluding Small Enterprise)
- Securities & Instruments: Equities
- Securities & Instruments: Money Market Instruments
- Securities & Instruments: Debentures and Secured Debt
- Securities & Instruments: Warrants, Securities and Other Instruments
- Securities & Instruments: Bonds
- Securities & Instruments: Derivative Instruments

- Participatory Interest in Collective Investment Schemes
- Deposits as defined in the Law of Banks –Longer than 12 Months
- Deposits as defined in the Law of Banks –12 Months or Shorter

CATEGORY II –Discretionary FSP:

- Small Enterprise Pension Fund Benefits
- Pension Fund Benefits (Excluding Small Enterprise)
- Securities & Instruments: Equities
- Securities & Instruments: Money Market Instruments
- Securities & Instruments: Debentures and Secured Debt
- Securities & Instruments: Warrants, Securities and Other Instruments
- Securities & Instruments: Bonds
- Securities & Instruments: Derivative Instruments
- Participatory Interest in Collective Investment Schemes

It is further required by FAIS legislation that managed equity (or other listed financial instruments) have accounts with a member of the securities exchange. To comply with this requirement, we make use of the following organizations:

1) Thebe Securities (011 375 1000)

Constantia View Office Park, 2 Hogsback Street, Quellerina, Roodepoort, 1709
Contact person: Heleen Strydom 011 375 1107.

2) PSG Wealth (011 831 6410)

Unit 6, 1ste Floor, Clear Water Office Park, Christiaan de Wet Lane, Strubbens Vallei, Roodepoort, 1724.
Contact person: Barend Saayman 011 831 6410.

The commission and fees of FAL INVEST (PTY) LTD are described in our mandate.

FAL INVEST (PTY) LTD holds professional indemnity & fidelity insurance.

FAL INVEST (PTY) LTD does not hold a significant number of shares in any life insurance company.